TENTH ESSENTIALS EDITION

WE THE PEOPLE

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TENTH ESSENTIALS EDITION

We the People

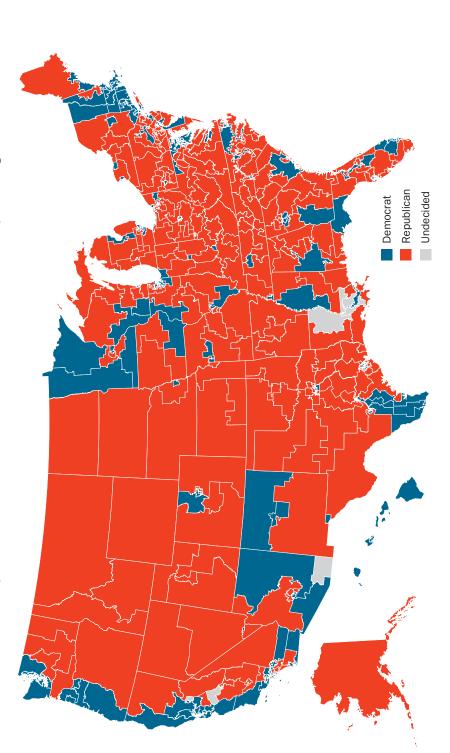
AN INTRODUCTION TO AMERICAN POLITICS

The 114th Congress, 2015–16*

United States House of Representatives

Democrats: 186 Republicans: 244 Undecided: 5

2014 Election Results: Republicans gained at least 10 seats.*



2014 Election Results: Republicans gained 9 seats.* ШΜ PA Republicans Democrats GA НО Z AL Z P 4 ХO Independents: 2 KS ¥ ШИ Republicans: 54 ΥY r Ξ **United States Senate** 5 AZ Democrats: 44 N

*Data are based on election results as of November 18, 2014. House races in several states remained undecided pending recounts and runoff elections.

1 Democratic senator and 1 Republican senator
1 Independent senator and 1 Democratic senator
1 Independent senator and 1 Republican senator

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We the People AN INTRODUCTION TO AMERICAN POLITICS

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To Teresa Spitzer Sandy, Cindy, and Alex Ginsberg Angele, Anna, and Jason Lowi Nicholas Ziegler Dave, Jackie, Eveline, and Eddie Dowling

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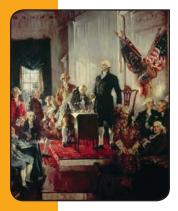
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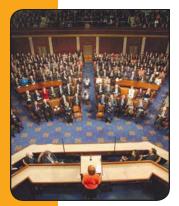
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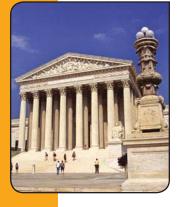
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preface

his book has been and continues to be dedicated to developing a satisfactory response to the question more and more Americans are asking: Why should we be engaged with government and politics? Through the first nine editions, we sought to answer this question by making the text directly relevant to the lives of the students who would be reading it. As a result, we tried to make politics interesting by demonstrating that students' interests are at stake and that they therefore need to take a personal, even selfish, interest in the outcomes of government. At the same time, we realized that students needed guidance in how to become politically engaged. Beyond providing students with a core of political knowledge, we needed to show them how they could apply that knowledge as participants in the political process. The "Plug In" sections in each chapter help achieve that goal.

As events from the last several years have reminded us, "what government does" can be a matter of life and death. Recent events have reinforced the centrality of government in citizens' lives. The U.S. government has fought two wars abroad, while claiming sweeping new powers at home that could compromise the liberties of its citizens. America's role in the world is discussed daily both inside and outside the classroom. Moreover, the Internet has opened up new avenues to participation and mobilization. Reflecting all of these trends, this new Tenth Essentials Edition shows more than any other book on the market (1) how students are connected to government; (2) how digital media are changing (or not changing) the way Americans experience politics; and (3) why students should think critically about government and politics. These themes are incorporated in the following ways:

- New "Politics and Your Future" chapter conclusions give students direct, personal reasons to care about politics. These sections focus on the political opportunities and challenges that students will face in their lives as a result of emerging social, political, demographic, and technological change. The conclusions reprise the important point made in the chapter introductions that *government matters* and prompt students to consider how political change will impact their futures.
- New "Plug In" sections show students how to make a difference in politics. These boxes replace the older "Get Involved" sections with succinct, realistic steps today's students can take—online and off—to *inform* themselves, *express* themselves, *connect* with others, and *act* in politically meaningful ways.

- New content on how digital media are changing politics is now incorporated throughout the text. With the Ninth Edition, we added "Digital Citizens" boxes to explore the ways that new information technologies are shaping how we experience politics. In this Tenth Edition, the coverage of digital politics has been integrated into the body of the text, in recognition of the fact that digital media have become an integral part of American politics.
- New "America Side by Side" boxes use data figures and tables to provide a comparative perspective. These one-page boxes appear in every chapter and provide a visual presentation of comparative data. By comparing political institutions and behavior across countries, students gain a better understanding of how specific features of the American system shape politics.
- Chapter introductions focus on "What Government Does and Why It Matters." In recent decades, cynicism about "big government" has dominated the political zeitgeist. But critics of government often forget that governments do a great deal for citizens. Every year, Americans are the benefi ciaries of billions of dollars of goods and services from government programs. Government "does" a lot, and what it does matters a great deal to everyone, including college students. At the start of each chapter, this theme is introduced and applied to the chapter's topic. The goal is to show students that government and politics mean something to their daily lives.
- **Built-in study guides at the end of each chapter offer valuable learning tools.** A practice quiz and glossary definitions help students review the chapter material. Each chapter also includes a list of recommended readings to help the students get started on research projects.

We continue to hope that our book will itself be accepted as a form of enlightened political action. This Tenth Edition is another chance. It is an advancement toward our goal. We promise to keep trying.

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TENTH ESSENTIALS EDITION



Most Americans share the core political values of liberty, equality, and democracy and want their government and its policies to reflect these values. However, people often disagree on the meaning of these values and what government should do to protect them.

Introduction: The Citizen and Government

WHAT GOVERNMENT DOES AND WHY IT MATTERS Americans sometimes appear to believe that the government is an institution that does things to them and from which they need protection. Students may wonder why they have to fill in long, often complicated forms to apply for financial assistance. They may frown when they see the payroll tax deducted from their small paycheck. Like Americans of all ages, they may resent municipal "red-light" cameras designed to photograph traffic violators—and send them tickets.

Although most people complain about something that government does to them, most everyone wants the government to do a great deal *for* them. Some of the services that people expect from government are big-ticket items, such as providing national security and keeping the nation safe from terrorist attacks. We all know that government pays for and directs the military. Students attending a state university know that state and federal public dollars help support their education.

Yet many of the other services that government provides are far less visible, and often it is not even clear that government plays a role at all. For example, students grabbing a quick bite to eat between classes take it for granted that their hamburger will not contain bacteria that might make them sick. Without federal inspection of meat, however, chances of contracting food-borne illnesses would be much higher and the everyday task of eating would be much riskier. Driving to school would not be possible if not for the tens of billions of dollars spent each year on road construction and maintenance by federal, state, and municipal governments. Like most Americans, young people expect to get reliable information about the weather for the week ahead and warnings about dangerous events such as hurricanes. The National Weather Service and the National Hurricane Center both provide reliable forecasts for such simple calculations as whether to bring an umbrella to more significant calculations made by airlines and air traffic control to get travelers safely where they need to go. These daily decisions don't seem to involve government but in fact they do. Indeed, most Americans would not be here at all if it were not for federal immigration policies, which set the terms for entry into the United States and for obtaining citizenship.

Government is the term generally used to describe the formal institutions through which a land and its people are ruled. As the government seeks to protect its citizens, it faces the challenge of doing so in ways that are true to the key American political values of liberty, equality, and democracy. Liberty means personal freedom and a government whose powers are limited by law. Equality is the idea that all individuals should have the right to participate in political life and society on equivalent terms. Democracy means placing considerable political power in the hands of ordinary people. Most Americans find it easy to affirm all three values in principle. In practice, however, matters are not always so clear. Policies and practices that seem to affirm one of these values may contradict another. Americans, moreover, are sometimes willing to subordinate liberty to security and have frequently tolerated significant departures from the principles of equality and democracy.

chaptergoals

- Explore Americans' attitudes toward government (pp. 5–7)
- Describe the role of the citizen in politics (pp. 7–10)
- Define government and forms of government (pp. 10–13)
- Show how the social composition of the American population has changed over time (pp. 13–18)
- Analyze whether the U.S. system of government upholds American political values (pp. 18–21)

Government Affects Our Lives Every Day

Explore Americans' attitudes toward government

Since the United States was established as a nation, Americans have been reluctant to grant government too much power, and they have often been suspicious of politicians. But over the course of the nation's

history, Americans have also turned to government for assistance in times of need and have strongly supported the government in periods of war. In 1933 the power of the government began to expand to meet the crises created by the stock market crash of 1929, the Great Depression, and the run on banks. Congress passed legislation that brought the government into the businesses of home mortgages, farm mortgages, credit, and relief of personal distress. More recently, when the economy fell into a recession in 2008 and 2009, the federal government stepped in to shore up the financial system, oversee the restructuring of the ailing auto companies, and inject hundreds of billions of dollars into the faltering economy. Today, the national government is an enormous institution with programs and policies reaching into every corner of American life. It oversees the nation's economy; it is the nation's largest employer; it provides citizens with a host of services; it controls the world's most formidable military establishment; and it regulates a wide range of social and commercial activities.

Much of what citizens have come to depend on and take for granted—as, somehow, part of the natural environment—is in fact created by government. Take the example of a typical college student's day, throughout which that student relies on a host of services and activities organized by national, state, and local government agencies. The extent of this dependence on government is illustrated by Table 1.1.

Trust in Government Has Declined

Ironically, even as popular dependence on it has grown, the American public's view of government has turned more sour. Public trust in government has declined, and Americans are now more likely to feel that they can do little to influence the government's actions. Different groups vary somewhat in their levels of trust: African Americans and Latinos express more confidence in the federal government than do whites. But even among the most supportive groups, more than half do not trust the government.¹ These developments are important because politically engaged citizens and public confidence in government are vital for the health of a democracy.

By 2013, only 19 percent of Americans reported trusting the government in Washington "to do what is right" all or most of the time, down from 75 percent in the early 1960s.² Several factors contributed to the decline in trust. Revelations about the faulty information that led up to the war in Iraq and ongoing concern about the war had increased Americans' mistrust of government. In March 2007, 54 percent of those surveyed believed that the Bush administration had deliberately misled the American public about whether Iraq had weapons of mass destruction. By 2012, the government's inability to get the economy moving had further undermined trust in government. When political differences over the Affordable Care Act, President Obama's program to reform the American health care system (also called "Obamacare"), led to a two-week partial government

TABLE 1.1

The Presence of Government in the Daily Life of a Student at "State University"

TIME OF DAY SCHEDULE

•••••••••••••••••••••••••••••	
7:00 AM	Wake up. Standard time set by the national government.
7:10 ам	Shower. Water courtesy of local government, either a public entity or a regulated private company. Brush your teeth with toothpaste whose cavity-fighting claims have been verified by a federal agency.
7:30 ам	Have a bowl of cereal with milk for breakfast. "Nutrition Facts" on food labels are a federal requirement, pasteurization of milk required by state law, recycling the empty cereal box and milk carton enabled by state or local laws.
8:30 am	Drive or take public transportation to campus. Air bags and seat belts required by federal and state laws. Roads and bridges paid for by state and local governments, speed and traffic laws set by state and local governments, public transportation subsidized by all levels of government.
8:45 am	Arrive on campus of large public university. Buildings are 70 percent financed by state taxpayers.
9:00 ам	First class: Chemistry 101. Tuition partially paid by a federal loan (more than half the cost of university instruction is paid for by taxpayers), chemistry lab paid for with grants from the National Science Foundation (a federal agency).
Noon	Eat lunch. College cafeteria financed by state dormitory authority on land grant from federal Department of Agriculture.
2:00 рм	Second class: American Government 101 (your favorite class!). You may be taking this class because it is required by the state legislature or because it fulfills a university requirement.
4:00 рм	Third class: Computer Lab. Free computers, software, and Internet access courtesy of state subsidies plus grants and discounts from IBM and Microsoft, the costs of which are deducted from their corporate income taxes; Internet built in part by federal government.
6:00 рм	Eat hamburger for dinner. Meat inspected by federal agencies.
7:00 рм	Work at part-time job at the campus library. Minimum wage set by federal, state, or local government, books and journals in library paid for by state taxpayers.
8:15 рм	Check the status of your application for a federal student loan (FAFSA) on the Department of Education's website at studentaid.ed.gov.
10:00 рм	Go home. Street lighting paid for by county and city governments, police patrols by city government.
10:15 рм	Watch TV. Networks regulated by federal government, cable public- access channels required by city law. Weather forecast provided to broadcasters by a federal agency.

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shutdown in 2013 and the second dramatic showdown over raising the national debt limit in two years (usually a routine matter), public trust once again dipped to historically low levels.³

Does it matter if Americans trust their government? For the most part, the answer is yes. As we have seen, most Americans rely on government for a wide range of services and laws that they simply take for granted. But long-term distrust in government can result in public refusal to pay taxes adequate to support such widely approved public activities. Low levels of confidence may also make it difficult for government to attract talented and effective workers to public service.⁴ The weakening of government as a result of prolonged levels of distrust may ultimately harm the capacity of the United States to defend its national interest in the world economy and may jeopardize its national security. Likewise, a weak government can do little to assist citizens who need help in weathering periods of sharp economic or technological change.

Political Efficacy Means People Can Make a Difference

Another important trend in American views about government has been a declining sense of **political efficacy**, the belief that ordinary citizens can affect what government does, that they can take action to make government listen to them. In 2014, 78 percent of Americans said that elected officials do not care what people like them think; in 1960, only 25 percent felt so shut out of government.⁵ Accompanying this sense that ordinary people cannot be heard is a growing belief that government is not run for the benefit of all the people. In 2012, 57 percent of the public disagreed with the idea that the "government is really run for the benefit of all the people."⁶ These views are widely shared across the age spectrum.

This widely felt loss of political efficacy is bad news for American democracy. The feeling that you can't affect government decisions can lead to a self-perpetuating cycle of apathy, declining political participation, and withdrawal from political life. Why bother to participate if you believe it makes no difference? Yet the belief that you can be effective is the first step needed to influence government. Not every effort of ordinary citizens to influence government will succeed, but without any such efforts, government decisions will be made by a smaller and smaller circle of powerful people. Such loss of broad popular influence over government actions undermines the key feature of American democracy: government by the people.

Citizenship Is Based on Political Knowledge and Participation

Describe the role of the citizen in politics

Beginning with the ancient Greeks, citizenship has meant membership in one's community. In fact, the Greeks did not even conceive of the individual as a complete person. The complete person was the public person, the *citizen*; a

noncitizen or a private person was referred to as an *idiōtēs*. Participation in public affairs was virtually the definition of citizenship.



When the federal government partially shut down in October 2013, millions of citizens were affected, including visitors who were turned away from the Statue of Liberty. Citizens need political knowledge to understand how such events affect their lives and what policies promote their interests.

Today, voting is considered the building block of **citizenship** informed and active membership in a political community—as it is the method by which Americans choose their elected leaders. Citizens can influence their government in many ways, including serving on a jury, lobbying, writing a letter to the editor of a local newspaper, and engaging in a public rally or protest. The point of these activities is to influence the government.

Citizens need political knowledge to figure out how best to act in their own interests. To take a simple example, if the garbage is not collected from in front of people's homes, people need to know that this job is the responsibility of their local government, not the national government. Americans often complain that government does not respond to their needs, but sometimes the failure of government to act may simply result from citizens' lacking the information necessary to present their problems to the correct government office or agency. To put the matter more simply, effective participation requires knowledge. (It should come as no surprise, then, that people who have less knowledge of politics vote at lower

rates than those with more knowledge.) Knowledge is the first prerequisite for achieving an increased sense of political efficacy.

Digital Citizenship Is the Newest Way to Participate

As more and more of our social, workplace, and educational activities have migrated online, so too have opportunities for political knowledge and participation, creating a new concept of "digital citizenship." Digital citizenship is the ability to participate in society online, and it is increasingly important in politics. A 2012 Pew survey found that 75 percent of Americans read the news online and more than 6 in 10 look up political information online. People also seek out government information online; 67 percent visit a local, state, or federal government website.⁷ Digital citizenship benefits individuals, but it also provides advantages to society as a whole. Digital citizens are more likely to be

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Political Knowledge and Trust in Government

In every country, citizens rely on government to provide certain services. But the relationship between a government and its people can vary, as does the level of trust a people have in their government. Trust in government may encourage citizens to pay taxes, engage in civic behavior, or join the government workforce. Lack of trust can make it hard for government officials to achieve public goals by reducing support for spending on public programs. Lack of trust may also cause citizens to be cynical about government and lead them to disengage from public life. In this sense, lack of trust can undermine democracy. At the extreme, lack of trust can lead to social unrest and even revolution.

How much do levels of trust in government vary across countries? Which group is more likely to trust in government, the general population or the informed public? Many of the democracies in the table below, including the United States, have lower levels of trust in government than China, a nondemocratic country. Why would Americans be less trusting of their government than citizens of China, whose government is much less open to public scrutiny?

	Percentage Who Trusts Government among General	Percentag Who Trust Governmer among Informed	s nt	
Country	Public	Public	Difference	Type of Government
China	70	80	-10	Communist state
India	64	71	-7	Parliamentary federal republic
Brazil	51	55	-4	Presidental federal republic
United St	ates 45	59	-14	Presidental federal republic
Germany	44	55	-11	Parliamentary federal republic
Turkey	43	42	1	Republican parliamentary democracy
United Ki	ngdom 43	53	-10	Constitutional monarchy
Poland	34	48	-14	Parliamentary republic
Russia	30	36	-6	Nondemocratic federation

NOTE: The data for the general population are based on 1,000 responses (adults age 18 years and older) per country surveyed. The data for informed publics are based on 500 responses each in China and the United States and 200 responses each in other countries; respondents are adults ages 25–64 who are college educated and in the top 25 percent of household income for their age bracket in their country, and who report significant engagement in business and policy news.

SOURCE: Edelman Trust Barometer Survey, 2013, http://edelmaneditions.com/wp-content/uploads/2013/01 /EMBARGOED-2013-Edelman-Trust-Barometer-Global-Deck_FINAL.pdf (accessed 1/14/14).